

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Applicants

Reuven Bakalash et al.

Application No. Filing Date

10/849,501 May 19, 2004

Title of Invention

METHOD OF AND APPARATUS FOR DATA

AGGREGATION UTILIZING A MULTIDIMENSIONAL DATABASE AND MULTI-STAGE DATA AGGREGATION

**OPERATIONS** 

Examiner

not yet assigned

Group Art Unit

2177

Attorney Docket No. :

122-007USANL0

Honorable Commissioner of Patents

and Trademarks

Washington, DC 20231

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Sir:

In order to fulfill Applicants' continuing obligation of candor and good faith as set forth in 37 C.F.R. 1.56, Applicants submit herewith an Information Disclosure Statement prepared in accordance with 37 C.F.R Sections 1.97, 1.98 and 1.99.

# RELATED USPTO PROCEEDINGS AND FEDERAL ACTIONS

Applicants bring to the Examiner's attention that Applicants' prior US Patent No. 6,385,604 (assigned to HyperRoll Israel Ltd.) is currently (i) the subject of an exparte Re-Examination Proceeding (Control No. 90/007,326) pursuant to Order Granting Request for Ex Parte Reexamination dated January 12, 2005 (copy attached hereto), and also (ii) the subject of the following two litigations between HyperRoll Israel Ltd. and Hyperion Solutions Corporation:

Hyperion Solutions Corporation v. HyperRoll, Inc. and HyperRoll Israel, LTD, N.D. Cal., Docket No. CA 05/05054 VRW; and

HyperRoll Israel, Ltd. v. Hyperion Solutions Corporation, E.D. Texas, Docket No. TX 505 CV 12, (currently transferred to the North District of California).

The disclosures enclosed herewith are as follows:

# **U.S. PUBLICATIONS**

<u>NUMBER</u>	FILING DATE	TITLE
6,832,263	April 27, 2001	METHOD AND APPARATUS FOR IMPLEMENTING A DYNAMICALLY UPDATED PORTAL PAGE IN AN ENTERPRISE-WIDE COMPUTER SYSTEM
6,766,325	December 2, 1999	SYSTEM AND METHOD FOR MAINTAINING DATA FOR PERFORMING "WHAT IF" ANALYSIS
6,763,357	June 27, 2000	METHOD FOR DETERMINING THE COMPUTABILITY OF DATA FOR AN ACTIVE MULTI-DIMENSIONAL CACHE IN A RELATIONAL DATABASE MANAGEMENT SYSTEM
6,748,394	April 27, 2001	GRAPHICAL USER INTERFACE FOR RELATIONAL DATABASE
6,732,115	April 27, 2001	CHAMELEON MEASURE AND METRIC CALCULATION
6,662,174	April 17, 2001	ANALYTICAL SERVER INCLUDING METRICS ENGINE
6,643,661	April 27, 2001	METHOD AND APPARATUS FOR IMPLEMENTING SEARCH AND CHANNEL FEATURES IN AN ENTERPRISE-WIDE COMPUTER SYSTEM
6,629,094	September 1, 2000	SYSTEM AND METHOD FOR REWRITING RELATIONAL DATABASE QUERIES
6,601,062	June 27, 2000	ACTIVE CACHING FOR MULTI- DIMENSIONAL DATA SETS IN RELATIONAL DATABASE MANAGEMENT SYSTEM
6,594,672	June 1, 2000 Page 2 of 15	GENERATING MULTIDIMENSIONAL

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·		OUTPUT USING META-MODELS AND META-OUTLINES
6,587,547	December 7, 1999	SYSTEM AND METHOD FOR THE CREATION AND AUTOMATIC DEPLOYMENT OF PERSONALIZED, DYNAMIC AND INTERACTIVE VOICE SERVICES, WITH REAL-TIME DRILLING VIA TELEPHONE
6,549,907	April 22, 1999	MULTI-DIMENSIONAL DATABASE AND DATA CUBE COMPRESSION FOR AGGREGATE QUERY SUPPORT ON NUMERIC DIMENSIONS
6,535,872	April 8, 1999	METHOD AND APPARATUS FOR DYNAMICALLY REPRESENTING AGGREGATED AND SEGMENTED DATA VIEWS USING VIEW ELEMENT SETS
6,493,728	June 22, 2000	DATA COMPRESSION FOR RECORDS OF MULTIDIMENSIONAL DATABASE
6,484,179	October 25, 1999	STORING MULTIDIMENSIONAL DATA IN A RELATIONAL DATABASE MANAGEMENT SYSTEM
6,477,536	June 22, 1999	VIRTUAL CUBES
6,473,764	October 15, 1999	VIRUTAL DIMENSIONS IN DATABASES AND METHODS THEREFOR
6,434,544	February 28, 2000	STAND-ALONE CARTRIDGE-STYLE DATA AGGREGATION SERVER PROVIDING DATA AGGREGATION FOR OLAP ANALYSES
6,408,292	August 4, 1999	METHOD OF AND SYSTEM FOR MANAGING MULTI-DIMENSIONAL DATABASES USING MODULAR- ARITHMETIC BASED ADDRESS DATA MAPPING PROCESSES ON INTEGER- ENCODED BUSINESS DIMENSIONS
6,405,208	December 13, 1999  Page 3 of	DYNAMIC RECURSIVE BUILD FOR MULTIDIMENSIONAL DATABASES

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		AND METHODS AND APPARATUS THEREOF
6,397,195	June 3, 1998	SYSTEM FOR MANAGING ACCOUNTING INFORMATION IN A MULTI-DIMENSIONAL DATABASE
6,385,604	August 9, 2000	RELATIONAL DATABASE MANAGEMENT SYSTEM HAVING INTEGRATED NON-RELATIONAL MULTI-DIMENSIONAL DATA STORE OF AGGREGATED DATA ELEMENTS
6,385,301	November 10, 1998	DATA PREPARATION FOR TRAFFIC TRACK USAGE MEASUREMENT
6,374,234	June 22, 1999	AGGREGATION PERFORMANCE ESTIMATION IN DATABASE SYȘTEMS
6,330,564	February 10, 1999	SYSTEM AND METHOD FOR AUTOMATED PROBLEM ISOLATION IN SYSTEMS WITH MEASUREMENTS STRUCTURED AS A MULTIDIMENSIONAL DATABASE
6,324,533	May 29, 1998	INTEGRATED DATABASE AND DATA- MINING SYSTEM
6,321,241	July 2, 1998	CROSS TAB ANALYSIS AND REPORTING METHOD
6,317,750	October 26, 1998	METHOD AND APPARATUS FOR ACCESSING MULTIDIMENSIONAL DATA
6,269,393	June 19, 2000	SYSTEM AND METHOD FOR AUTOMATIC TRANSMISSION OF PERSONALIZED OLAP REPORT OUTPUT
6,249,791	May 18, 1999	SUMMARY TABLE MANAGEMENT IN A COMPUTER SYSTEM
6,212,617	June 30, 1998	PARALLEL PROCESSING METHOD AND SYSTEM USING A LAZY PARALLEL DATA TYPE TO REDUCE INTER-PROCESSOR COMMUNICATION

6,212,515 B1	November 3, 1998	METHOD AND APPARATUS FOR POPULATING SPARSE MATRIX ENTRIES FROM CORRESPONDING DATA
6,212,524 B1	May 6, 1998	METHOD AND APPARATUS FOR CREATING AND POPULATING A DATAMART
6,209,036	June 6, 1997	MANAGEMENT OF AND ACCESS TO INFORMATION AND OTHER MATERIAL VIA THE WORLD WIDE WEB IN AN LDAP ENVIRONMENT
6,208,975 B1	June 19, 1997	INFORMATION AGGREGATION AND SYNTHESIZATION SYSTEM
6,205,447	June 30, 1999	RELATIONAL DATABASE MANAGEMENT OF MULTI- DIMENSIONAL DATA
6,189,004 B1	May 6, 1998	METHOD AND APPARATUS FOR CREATING A DATAMART AND FOR CREATING A QUERY STRUCTURE FOR THE DATAMART
6,182,061 B1	April 9, 1998	METHOD FOR EXECUTING AGGREGATE QUERIES, AND COMPUTER SYSTEM
6,182,060	April 8, 1998	METHOD AND APPARATUS FOR STORING, RETRIEVING, AND PROCESSING MULTI-DIMENSIONAL CUSTOMER-ORIENTED DATA SETS
6,173,310 B1	June 30, 1999	SYSTEM AND METHOD FOR AUTOMATIC TRANSMISSION OF ON- LINE ANALYTICAL PROCESSING SYSTEM REPORT OUTPUT
6,163,774	May 24, 1999	METHOD AND APPARATUS FOR SIMPLIFIED AND FLEXIBLE SELECTION OF AGGREGATE AND CROSS PRODUCT LEVELS FOR A DATA WAREHOUSE
6,161,103	May 6, 1998	METHOD AND APPARATUS FOR CREATING AGGREGATES FOR USE IN A

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# DATAMART

		DATAWARI
6,151,601	November 12, 1997	COMPUTER ARCHITECTURE AND METHOD FOR COLLECTING, ANALYZING AND/OR TRANSFORMING INTERNET AND/OR ELECTRONIC COMMERCE DATA FOR STORAGE INTO A DATA STORAGE AREA
6,141,655	September 23, 1997	METHOD AND APPARATUS FOR OPTIMIZING AND STRUCTURING DATA BY DESIGNING A CUBE FOREST DATA STRUCTURE FOR HIERARCHICALLY SPLIT CUBE FOREST TEMPLATE
6,134,541	October 31, 1997	SEARCHING MULTIDIMENSIONAL INDEXES USING ASSOCIATED CLUSTERING AND DIMENSION REDUCTION INFORMATION
6,122,628	October 31, 1997	MULTIDIMENSIONAL DATA CLUSTERING AND DIMENSION REDUCTION FOR INDEXING AND SEARCHING
6,115,705	May 19, 1997	RELATIONAL DATABASE SYSTEM AND METHOD FOR QUERY PROCESSING USING EARLY AGGREGATION
6,108,647	May 21, 1998	METHOD, APPARATUS AND PROGRAMMED MEDIUM FOR APPROXIMATING THE DATA CUBE AND OBTAINING APPROXIMATE ANSWERS TO QUERIES IN RELATIONAL DATABASES
6,064,999	April 15, 1998	METHOD AND SYSTEM FOR EFFICIENTLY PERFORMING DATABASE TABLE AGGREGATION USING A BITMASK-BASED INDEX
6,034,697	December 9, 1997	INTERPOLATION BETWEEN RELATIONAL TABLES FOR PURPOSES OF ANIMATING A DATA VISUALIZATION
6,023,695	October 31, 1997 Page 6 o	SUMMARY TABLE MANAGEMENT IN A

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6,006,216 July 29, 1997		DATA ARCHITECTURE FOR FETCH- INTENSIVE DATABASE APPLICATIONS
6,003,029	August 22, 1997	AUTOMATIC SUBSPACE SLUSTERING OF HIGH DIMENSIONAL DATA FOR DATA MINING APPLICATIONS
5,999,192	April 30, 1996	INTERACTIVE DATA EXPLORATION APPARATUS AND METHODS
5,991,754	December 28, 1998	REWRITING A QUERY IN TERMS OF A SUMMARY BASED ON AGGREGATE COMPUTABILITY AND CANONICAL FORMAT, AND WHEN A DIMENSION TABLE IS ON THE CHILD SIDE OF AN OUTER JOIN
5,987,467	August 15, 1997	METHOD OF CALCULATING TUPLES FOR DATA CUBES
5,978,796	June 30, 1997	ACCESSING MULTI-DIMENSIONAL DATA BY MAPPING DENSE DATA BLOCKS TO ROWS IN A RELATIONAL DATABASE
5,978,788	April 14, 1997	SYSTEM AND METHOD FOR GENERATING MULTI- REPRESENTATIONS OF A DATA CUBE
5,963,936	June 30, 1997	QUERY PROCESSING SYSTEM THAT COMPUTES GROIUPING SETS, ROLL- UP, AND CUBE WITH A REDUCED NUMBER OF GROUP BYS IN A QUERY GRAPH MODEL
5,940,822	August 29, 1997	ENCODING METHOD OF MEMBERS RELATED BY MULTIPLE CONCEPT OR GROUP HIERARCHIES AND IDENTIFICATION OF MEMBERS IN A CORPPUS OF A DATABASE THAT ARE DESCENDANTS OF ONE OR MORE SELECTED CONCEPTS OF GROUPS FROM THE ENCODING
5,926,820	February 27, 1997	METHOD AND SYSTEM FOR

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·		PERFORMING RANGE MAX/MIN QUERIES ON A DATA CUBE
5,926,818	June 30, 1997	RELATIONAL DATABASE IMPLEMENTATION OF A MULTI-DIMENSIONAL DATABASE
5,918,232	November 26, 1997	MULTIDIMENSIONAL DOMAIN MODELING METHOD AND SYSTEM
5,918,225	June 29, 1999	SOL-BASED DATABASE SYSTEM WITH IMPROVED INDEXING METHODOLOGY
5,915,257	December 24, 1996	CROSS TAB ANALYSIS AND REPORTING METHOD
5,905,985	June 30, 1997	RELATIONAL DATABASE MODIFICATIONS BASED ON MULTI- DIMENSIONAL DATABASE MODIFICATIONS
5,901,287	July 24, 1996	INFORMATION AGGREGATION AND SYNTHESIZATION SYSTEM
5,890,151	May 9, 1997	METHOD AND SYSTEM FOR PERFORMING PARTIAL-SUM QUERIES ON A DATA CUBE
5,864,857	March 27, 1997	METHOD FOR PROCESSING MULTI- DIMENSIONAL DATA
5,857,184	May 3, 1996	LANGUAGE AND METHOD FOR CREATING, ORGANIZING, AND RETRIEVING DATA FROM A DATABASE
5,852,821	June 25, 1997	HIGH-SPEED DATA BASE QUERY METHOD AND APPARATUS
5,850,547	January 8, 1997	METHOD AND APPARATUS FOR PARALLEL PROCESSING AGGREGATES USING INTERMEDIATE AGGREGATE VALUES
5,832,475	March 29, 1996  Page 8 of 15	DATABASE SYSTEM AND METHOD EMPLOYING DATA CUBE OPERATOR

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5,822,751	December 16, 1996	EFFICIENT MULTIDIMENSIONAL DATA AGGREGATION OPERATOR IMPLEMENTATION
5,805,885	January 24, 1994	METHOD AND SYSTEM FOR AGGREGATING OBJECTS
5,799,300	December 12, 1996	METHOD AND SYSTEM FOR PERFORMING RANGE-SUM QUERIES ON A DATA CUBE
5,794,229	December 11, 1995	DATABASE SYSTEM WITH METHODOLOGY FOR STORING A DATABASE TABLE BY VERTICALLY PARTITIONING ALL COLUMNS OF THE TABLE
5,794,228	December 11, 1995	DATABASE SYSTEM WITH BUFFER MANAGER PROVIDING PER PAGE NATIVE DATA COMPRESSION AND DECOMPRESSION
5,794,246	April 30, 1997	METHOD FOR INCREMENTAL AGGREGATION OF DYNAMICALLY INCREASING DATABASE DATA SETS
5,781,896	April 23, 1996	METHOD AND SYSTEM FOR EFFICIENTLY PERFORMING DATABASE TABLE AGGREGATION USING AN AGGREGATION INDEX
5,765,028	May 7, 1996	METHOD AND APPARATUS FOR PROVIDING NEURAL INTELLIGENCE TO A MAIL QUERY AGENT IN AN ONLINE ANALYTICAL PROCESSING SYSTEM
5,745,764	June 7, 1995	METHOD AND SYSTEM FOR AGGREGATING OBJECTS
5,706,495	May 7, 1996	ENCODED-VECTOR INDICES FOR DECISION SUPPORT AND WAREHOUSING
5,379,419	December 7, 1990 Page 9 of	METHODS AND APPARATUS FOR

		ACCESSING NON-RELATIONAL DATA FILES USING RELATIONAL QUERIES		
5,361,385	August 26, 1992	PARALLEL COMPUTING SYSTEM FOR VOLUMETRIC MODELING, DATA PROCESSING AND VISUALIZATION		
5,359,724	March 30, 1992	METHOD AND APPARATUS FOR STORING AND RETRIEVING MULTI-DIMENSIONAL DATA IN COMPUTER MEMORY		
5,278,966	June 29, 1990	TOROIDAL COMPUTER MEMORY FOR SERIAL AND PARALLEL PROCESSORS		
5,257,365	March 16, 1990	DATABASE SYSTEM WITH MULTI- DIMENSIONAL SUMMARY SEARCH TREE NODES FOR REDUCING THE NECESSITY TO ACCESS RECORDS		
5,189,608	February 15, 1990	METHOD AND APPARATUS FOR STORING AND GENERATING FINANCIAL INFORMATION EMPLOYING USER SPECIFIED INPUT AND OUTPUT FORMATS		
5,101,475	April 17, 1989	METHOD AND APPARATUS FOR GENERATING ARBITRARY PROJECTIONS OF THREE- DIMENSIONAL VOXEL-BASED DATA		
4,989,141	June 1, 1987	COMPUTER SYSTEM FOR FINANCIAL ANALYSES AND REPORTING		
4,987,554	August 24, 1988	METHOD OF CONVERTING CONTINUOUS THREE-DIMENSIONAL GEOMETRICAL REPRESENTATIONS OF POLYGONAL OBJECTS INTO DISCRETE THREE-DIMENSIONAL VOXEL-BASED REPRESENTATIONS THEREOF WITHIN A THREE-DIMENSIONAL VOXEL- BASED SYSTEM		
2002/0016924	April 27, 2001	DATABASE SECURITY		
2002/0023122	April 27, 2001  Page 10	METHOD AND APPARATUS FOR PROCESSING JOBS ON AN		
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<b>ENTERPRISE-WIDE COMPUTER</b>
OX COMES &

SYSTEM

2002/0029207 February 28, 2001 DATA AGGREGATION SERVER FOR

MANAGING A MULTI-DIMENSIONAL

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MANAGEMENT SYSTEM HAVING

DATA AGGREGATION SERVER

INTEGRATED THEREIN

2002/0038229 April 27, 2001 NON-ADDITIVE MEASURES AND

METRIC CALCULATION

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**CALCULATION** 

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Dated: July 21, 2005

A separate listing of the above references on PTO Form 1449, hard copies of non-patent references, and a compact disc containing copies of U.S. references in .pdf format are enclosed herewith for the convenience of the Examiner.

Applicants believe that no fees are due at this time. However, if the Commissioner deems it necessary, he is hereby authorized to charge any fee deficiencies or overpayments to Deposit Account No. 16-1340.

Respectfully submitted,

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## <u>CERTIFICATE OF MAILING UNDER</u> <u>37 C.F.R. 1.08</u>

I hereby certify that this correspondence is being deposited with the United States Postal Service on July 21, 2005, in a Postage Prepaid envelope as, First Class Mail, addressed to:

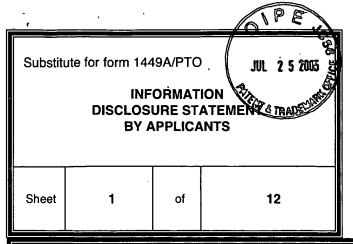
**Commissioner for Patents** 

P.O. Box 1450

Thomas J. Perkowski, Esq.

Reg. No. 33,134

Date: July 21, 2005



Complete If Known			
Application Number 10/849,501			
Filing Date	May 19, 2004		
First Name Inventor	Bakalash et al.		
Group Art Unit	2177		
Examiner Name	n/a		
Attorney Docket Number	122-007USANL0		

U.S. PATENT DOCUMENTS						
Examiner Cite	U.S. Patent Doci	uments Kind	Name of Patentee or	Date of Publication of Cited	Intn'l Class / Sub Class	
Initials	No.	Number	Code (if known)	Applicant of Cited Document	Document MM-DD-YYYY	man class / cub class
		6,832,263		Polizzi et al.	12/14/2004	G06F 15/16
		6,766,325		Pasumansky et al.	07/20/2004	G06F 17/30
		6,763,357		Deshpande et al.	07/13/2004	G06F 17/30
		6,748,394		Shah et al.	06/08/2004	G06F 17/30
		6,732,115		Shah et al.	05/04/2004	G06F 17/30
		6,662,174		Shah et al.	12/09/2003	G06F 17/30
		6,643,661		Polizzi et al.	11/04/2003	G06F 17/30
		6,629,094		Colby	09/30/2003	G06F 17/30
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		6,594,672		Lampson et al.	07/15/2003	G06F 17/30
		6,587,547		Zirngibl et al.	07/01/2003	H04M 1/64; G06F 15/16

			U.S. PAT	TENT DOCUMENT	'S	
Examiner Initials	Cite No.	U.S. Patent Doce	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Intn'i Class / Sub Class
		6,549,907		Fayyad et al.	04/15/2003	G06F 17/30
		6,535,872		Castelli et al.	03/18/872	G06F 17/30
		6,493,728		Berger	12/10/2002	G06F 17/30
		6,484,179		Roccaforte	11/19/2002	G06F 17/30
		6,477,536		Pasumansky et al.	11/05/2002	G06F 17/30
		6,473,764		Petculescu et al.	10/29/2002	G06F 17/30
		6,434,544		Bakalash et al.	08/13/2002	G06F 17/30
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		6,405,208		Raghavan et al.	06/11/2002	G06F 17/30
		6,397,195		Pinard et al.	05/28/2002	G06F 17/60
		6,385,604		Bakalash et al.	05/07/2002	G06F 17/30
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			U.S. PAT	TENT DOCUMENT	'S	
Examiner Initials	Cite No.	U.S. Patent Doci	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Intn'l Class / Sub Class
		6,330,564		Hellerstein et al.	12/11/2001	G06F 17/00; G06F 7/00
		6,324,533		Agrawal et al.	11/27/2001	G06F 17/30; G06F 7/00
		6,321,241		Gartung et al.	11/20/2001	G06F 17/30; G06F 7/00 G06T 11/20
		6,317,750		Tortolani et al.	11/13/2001	G06F 17/00
		6,269,393		Yost et al.	07/31/2001	G06F 15/16
		6,249,791		Osborn et al.	06/19/2001	G06F 17/30
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		6,212,515		Rogers	04/03/2001	G06F 17/30
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#### **EXAMINER**

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